



3rd Annual Culture and Conduct Forum for the Financial Services Industry, 7th November 2017, London

Alison Cottrell, James Bardrick and Saker Nusseibeh will join an exceptional speaker panel 30% discount – see below

As Andrew Bailey put it in a recent speech: *‘Cultural outcomes are the product of a wide range of contributory forces: the structure and effectiveness of management and governance, including the well-used phrase ‘the tone from the top’; and the incentives they create; the quality and effectiveness of risk management; and the willingness of people throughout the organisation to enthusiastically adopt and adhere to the tone from the top. That adherence is crucial: actions really do speak louder than words and are at the heart of how we judge the intent towards good outcomes and thus culture.’*

This Forum will cover:

- Getting to Grips with Culture
- Building a culture of continuous Improvement
- Strengthening governance frameworks to mitigate misconduct risk
- Spotlight on corporate culture: the role of investors
- Ticking outside the box: how to drive true accountability
- Diversity and reaping the benefits of an inclusive culture
- Reform of the Corporate Governance Code
- Lessons from The Netherlands
- Whistleblowing and encouraging a “speak-up” culture
- Lessons from other industries
- Mapping outcomes: why culture matters for customers, clients and the wider society, and how banks can win trust and loyalty through new services and innovation
- Global view of conduct in Financial Service

Speakers include:

- **John Sutherland**, Senior advisor, Financial Conduct Authority
- **Lord Cromwell**, Co-Chair, All-Party Parliamentary Group on Fair Business Banking
- **James Bardrick**, CEO, Citi UK & Board Member, Banking Standards Board
- **Anthony Belchambers**, Chairman, Saxo Capital Markets; Non-Executive Director, Westpac Europe
- **David Berman**, Partner, Latham & Watkins
- **Sir Win Bischoff**, Chairman, JP Morgan Securities and Chairman, Financial Reporting Council
- **Lord Blackwell**, Chairman, Lloyds Banking Group
- **General Sir Nicholas Carter**, Chief of the General Staff, UK Army
- **Edward Bonham Carter**, Vice Chairman, Jupiter Asset Management
- **Alison Cottrell**, Chief Executive, Banking Standards Board
- **Frans de Weert**, Head of the Expertise Centre, Dutch Authority for the Financial Markets
- **Sally Dewar**, International Head of Regulatory Affairs, JP Morgan
- **Suvro Dutta**, Partner, KPMG
- **Sir Robert Francis QC**, Chairman, UK Government whistle blowing review
- **Fiona Fry**, Partner, KPMG
- **Andy Griffiths**, Executive Director, Investor Forum
- **Jessica Ground**, Global Head of Stewardship, Schroders
- **Tim Howarth**, Partner, KPMG
- **Vivian Hunt**, Managing Partner, UK and Ireland, McKinsey & Company
- **Stephen Jones**, Chief Executive, UK Finance
- **Peter Montagnon**, Associate Director, Institute of Business Ethics
- **Saker Nusseibeh**, Chief Executive, Hermes Investment Management
- **Michael Roemer**, Group Head of Compliance, Barclays
- **Katarina Rosen**, Global Head of Culture & Ethics, Credit Suisse

Attendance at this highly topical event will be invaluable for the senior management of all FCA regulated firms, including banks, asset managers and insurers. It will also be of particular interest to heads of compliance, heads of operations, heads of legal, heads of internal audit and heads of training and education, as well as members of the advisory community, such as lawyers and accountants.

Standard Delegate Fee: £595.00 + VAT: **£416.50 + VAT with code CC3SPK**